

Attorney Docket No.: 056226.56029US  
Application No.: Not Yet Assigned  
(*National Phase of International Appl. No.: PCT/DE2003/002552*)

**REMARKS**

This Preliminary Amendment presents a substitute specification, a new set of claims, and an amended Abstract for examination.

A marked-up version of the substitute specification, showing matter added to the translation by underlining and matter deleted from the translation by strike-through, is attached to this Preliminary Amendment. The substitute specification includes no new matter.

If there are any questions regarding this Preliminary Amendment or the application in general, a telephone call to the undersigned would be appreciated since this should expedite the prosecution of the application for all concerned.

If necessary to effect a timely response, this paper should be considered as a petition for an Extension of Time sufficient to effect a timely response, and please charge any deficiency in fees or credit any overpayments to Deposit Account No. 05-1323 (Docket # 056226.56029US).

Respectfully submitted,

March 18, 2005

  
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Enclosures: Marked-Up Version of Substitute Specification  
Clean Version of Substitute Specification  
Abstract of the Disclosure

#366399

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TITLE OF THE INVENTION

## WAVEGUIDE FILTER

5       This application claims priority to International Patent Application No.  
PCT/DE2003/002552, filed July 30, 2003, designating the United States of  
America, and German Application DE 102 43 670.3-35, filed September  
20, 2002, the disclosure(s) of which is (are) expressly incorporated by  
reference herein.

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BACKGROUND AND SUMMARY OF THE INVENTION

The invention relates to a waveguide filter as claimed in patent claim 1.

15       Waveguide filters are conventional components for microwave and millimetric wave technology. This filter type normally has relatively high resonator Q-factors and narrow electrical tolerances for the passband and cutoff band. Waveguide filters are distinguished by high stop-band attenuations and a low insertion loss. Waveguide filters are preferably used where it is no longer possible to use planar filters owing to stringent  
20 requirements for the electrical tolerance accuracy and the Q-factor.

An arrangement for frequency-selective suppression of radio-frequency signals is known from German Application DE 197 57 892 A1. The arrangement in this case has a baseplate with a first and a second substrate surface, each having a coupling connection and each having an electrically conductive panel. A shroud which is arranged over the baseplate, together with the electrically conductive panel, forms a hollow chamber which acts as a cavity resonator. The cavity resonator acts as a

high-pass filter, so that the only frequencies which can propagate are those which are higher than a cutoff frequency that is determined by the geometric dimensions of the cavity resonator.

- 5 A further known filter is known from US-U.S. Patent No. 6,236,291 B1. A housing which, together with the upper face of the substrate, forms a cavity, is arranged on the upper face of a substrate whose lower face is completely metallically coated. A dielectric panel which acts as a dielectric filter is arranged in this cavity.

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Figure 1 shows a further possible arrangement. The illustration shows the integration of a waveguide filter in a planar circuit according to the prior art. The arrangement comprises a substrate S which has a first stripline ML1 and a second stripline ML2, for example a microstripline, on the upper face. The first stripline ML1 is in this case used for inputting the transported electromagnetic wave into the waveguide filter HF, and the second stripline ML2 is used for outputting the wave from the waveguide filter HF. Input and output points are provided at the two ends of the filter for inputting/outputting the signal from/to the stripline, in order to change the signal from the mode which can propagate on the stripline to the waveguide mode which can propagate in the filter, and vice versa.

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These coupling points are formed at both ends of the filter from the striplines ML1, ML2, the substrate S, the shielding-cap SC, the via holes VH, the rear aperture face body RM and the baseplate TP with the cap DB.

The striplines ML1, ML2 each end underneath a shielding SC, which is used to prevent radiated emission of electromagnetic waves to the

surrounding area. Rear-face metallization RM, which has an aperture DB in the area of the shielding cap, is located on the lower face of the substrate S. A metallic baseplate TP is arranged on the lower face of the substrate and likewise has an aperture DB in the area of the shielding cap, so that the two apertures in the rear-face metallization of the substrate and in the baseplate TP are aligned with one another. The waveguide filter HF is screwed to this baseplate TP, with each of the openings in the waveguide filter being connected to the apertures DB.

10 An electromagnetic wave passes from the first stripline ML1 through the substrate S and the aperture DB into the waveguide filter HF. The electromagnetic wave is then passed from the waveguide filter HF through the apertures DB to the second stripline ML2.

15 One disadvantage of the integration of a conventional waveguide filter in a stripline environment (for example on printed circuits) is the high costs associated with this which, until now, have prevented widespread use of this principle. The cost drivers in this area are the large number of manufacturing steps and components, and the necessity to fit components 20 on the front face and rear face of the substrate.

The waveguide junction requires a precision-manufactured, mechanically accurately positioned shielding cap SC. The metallization on both faces of the substrate S must be structured with a small offset between the conductor track patterns on the lower face and upper face. The aperture DB in the baseplate must be produced in an additional manufacturing step. The substrate S must be connected to the baseplate TP conductively and accurately positioned. A shielding cap, which must be produced as a

separate component, must be fitted to the substrate S conductively and accurately positioned.

The waveguide filter HF normally comprises two parts (a waveguide filter lower part with three side walls of the waveguide filter and a cover part as a fourth side wall of the waveguide filter) which must be produced separately and must first of all be joined. The joined filter must then be attached to the lower face of the baseplate, such that it is accurately positioned.

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Further disadvantages result from the fact that the waveguide filter normally has a number of components (shielding cap, baseplate, waveguide filter) and that this type of implementation occupies a large amount of space.

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The object of the invention is thus to provide a waveguide filter which can be adapted to a printed circuit board easily, at low cost and in a space-saving manner.

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~~This object is achieved by the waveguide filter as claimed in the features of patent claim 1. Advantageous embodiments of the waveguide filter according to the invention are the subject matter of dependent claims.~~

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According to the invention, the waveguide filter is formed from a substrate, ~~which is~~ coated on the upper face with a structured metallic layer and one or more metallic striplines, and ~~from~~ a component, ~~with the component being~~ fitted to the upper face of the substrate ~~and with one~~. One side wall of the waveguide filter ~~being~~ is formed by the structured metallic layer of the substrate, and ~~with~~ the other side walls of the

waveguide filter ~~being are~~ formed by the component, ~~and with the.~~ The waveguide filter ~~having has~~ input and output points for coupling the electromagnetic waves carried in the stripline to the waveguide filter, and vice versa.

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One advantage of the ~~invention is that the~~ waveguide filter according to the invention is that essentially ~~comprises includes~~ a single component which can be produced easily and at low cost and is fitted to the upper face of an appropriately previously structured substrate. The waveguide filter 10 is, in this case, not formed by the component or the substrate per se, but only by the arrangement of the two elements with respect to one another according to the invention.

The component can advantageously be a surface mounted device. A large 15 number of the components used on a printed circuit board are normally surface mounted devices. The waveguide filter surface mounted device according to the invention can expediently be included in the manufacturing process. The assembly can be populated from just one side. This results in further advantages in terms of manufacturing costs and 20 time.

The component, which is also referred to as the filter upper part, advantageously has a conductive surface and may, for example, be produced from metal or metallized plastic, with the latter resulting in further advantages in terms of production costs and weight. The filter 25 upper part is advantageously conductively connected to the substrate, in particular with the filter upper part being soldered or conductively adhesively bonded to the substrate.

In one advantageous embodiment of the invention, the filter upper part is structured on ~~that~~the side wall which is opposite the upper face of the substrate (that is to say the substrate face to which the filter upper part is attached). This structure can ~~in this case then~~ be predetermined, 5 depending on the desired waveguide filter characteristics. The cross section of the waveguide filter can advantageously be chosen to correspond to the radio-frequency signal to be filtered.

#### BRIEF DESCRIPTION OF THE DRAWINGS

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The invention as well as further advantageous embodiments will be explained in more detail in the following text with reference to drawings, in which:

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Figure 1 shows a waveguide filter, fitted to a substrate, according to the prior art,

Figure 2 shows a plan view of the filter upper part with a structured internal surface,

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Figure 3 shows a longitudinal section through the filter upper part along the section line A-A' in Figure 2,

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Figure 4 shows a plan view of the metallized layer on the upper face of the substrate, and

Figure 5 shows a cross section through an arrangement according to the invention of a waveguide filter comprising a substrate

and a filter upper part, along the section line B-B' in Figure 2 and Figure 4.

#### DETAILED DESCRIPTION OF THE DRAWINGS

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Figure 2 shows a plan view of the filter upper part with a structured inner surface. At each of its opposite ends, the filter upper part FB has an opening OZ through which the microstriplines (see Figure 4 and Figure 5) are passed into the waveguide filter. The filter upper part FB is 10 essentially U-shaped (see Figure 3) and has a structure SK in the interior. The structure SK is in this case advantageously chosen to correspond to the desired waveguide filter characteristics.

15 Manufacturing methods such as milling or plastic injection molding can be used to produce mechanically high-precision structures SK, so that the waveguide filter also, in a corresponding manner, has only minor electrical tolerances for the input and filter function.

20 Furthermore, the filter upper part FB advantageously has a circumferential web ST (Figure 2 and Figure 3). In the waveguide filter, this web ST is seated directly on the metallized upper face of the substrate (not shown). This web ST is expediently adapted for the respective joining method that is used. The conductive solder or the conductive adhesive can be distributed in the space formed between the filter upper part and the 25 substrate when they are joined together, thus ensuring an optimum connection.

The web ST can expediently be adapted such that, for example when the joining method is "soldering", the surface tensions which occur in the

solder during the soldering process can be used to ensure that the component FB is positioned exactly on the metallically structured layer illustrated in Figure 4 during the soldering process.

5       Figure 3 shows a section illustration of the filter upper part along the section line A-A' in Figure 2. The illustration shows the essentially U-shaped filter upper part FB with the internal structure SK. The structure SK is in this case illustrated only by way of example. Other structure forms are, of course, also possible depending on the application.

10      Figure 4 shows a plan view of the metallized upper face of the substrate, to which the filter upper part can be fitted in order to form the waveguide filter according to the invention. In this case, ML1, ML2 denote the striplines, and TM denotes the metallization, which forms one wall of  
15     the waveguide filter in the arrangement according to the invention. The striplines ML1, ML2 may, for example, be microstriplines and are used for inputting and outputting the electromagnetic waves into and out of the waveguide filter.

20      Figure 5 shows a section illustration along the section line B-B' from Figure 2 and Figure 4 for a waveguide filter arrangement according to the invention. The waveguide filter HF is formed by the filter upper part FB, as illustrated in Figure 2, being fitted with high-precision to the metallized upper face TM of the substrate S, as illustrated in Figure 4.

25      The striplines ML1, ML2 which are formed on the upper face of the substrate S lead from the outside into the internal area of the waveguide filter HF. The metallization TM on the upper face of the substrate S forms the fourth wall, according to the invention, of the waveguide filter HF. The

other side walls (~~not illustrated~~) of the waveguide filter HF are formed by the filter upper part FB.